

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Patent Application of: )  
)  
Inventor(s) : Warnock et al )  
)  
Serial No. : 10/724,521 )  
)  
Filed : 11/28/03 )  
)  
For : METHOD AND APPARATUS )  
FOR IMPROVED INFORMATION )  
TRANSACTIONS )  
)  
Examiner : NA )  
Art Unit : NA )

CERTIFICATE OF MAILING  
UNDER 37 CFR 1.8

October 21, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Laura Custer

Typed or Printed Name of Person Mailing Paper or Fee

Signature of Person Mailing Paper or Fee

TRANSMITTAL LETTER

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following:

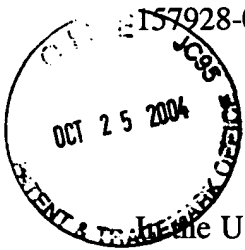
- ☒ Information Disclosure Statement, and forms PTO/SB/08A and PTO/SB08B, including references and;
- ☒ Postcard acknowledging receipt of the documents transmitted.

No additional fees are believed to be due. If an extension of time is required for this paper or later filed papers, please consider this a petition for the required extension of time. Please charge any required petition fees, and any other fees, except for payment of the issue fee, and charge any underpayment or credit any overpayment during the prosecution of this application to our Deposit Account No. 09-0946 for which purpose a duplicate of this paper is enclosed.

Respectfully submitted,

Norman E. Brunell, Reg. No. 26,533

**IRELL & MANELLA LLP**  
1800 Avenue of the Stars, Suite 900  
Los Angeles, CA 90067  
Telephone: (310) 277-1010  
Facsimile: (310) 203-7199



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the U.S. Patent Application of: )  
)  
Inventor(s) : Warnock et al )  
)  
Serial No. : 10/724,521 )  
)  
Filed : 11/28/2003 )  
)  
For : METHOD AND APPARATUS FOR )  
IMPROVED INFORMAITON )  
TRANSACTIONS )  
)  
Examiner : NA )  
)  
Art Unit : NA )

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(e)(1)

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted with Disclosure Form PTO/SB/08A, including references. The references disclosed are submitted in compliance with the duty of disclosure as defined in 37 CFR § 1.56. The Examiner is requested to consider, initial and make the references of official record in the Application.

Applicants' attorney hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a foreign application not more than three months prior to the filing of the statement.

Serial No.: 10/724,521

The submission of the above-indicated references is not to be construed as a representation that an exhaustive search has been made, that additional information material to the examination of this Application does not exist, or that the references, either alone or in combination with other references, constitute prior art under 35 U.S.C. § 102 or § 103.

Respectfully submitted,



Norman E. Brunell, Registration No. 26,533

**IRELL & MANELLA LLP**

1800 Avenue of the Stars, Suite 900

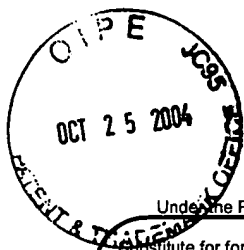
Los Angeles, CA 90067

Telephone: (310) 277-1010

Facsimile: (310) 203-7199

E-mail: nbrunell@irell.com





PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/724,521

Filing Date 11/28/03

First Named Inventor Warnock

Art Unit

Examiner Name

Attorney Docket Number 157928-0007

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		CATTONI et al, "Geometric Layout Analysis Techniques for Document Image Understanding: a Review, ITC-IRST Technical Report #9703-09,	
		January 1998 (1998-01), pages 1-68, XP002229195 Trento, Italy	

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.